

## UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

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 APPLICATION NUMBER
 FILING/RECEIPT DATE
 FIRST NAMED APPLICANT
 ATTORNEY DOCKET NO/ITILE

 08/870,762
 06/06/97
 DUFT
 B
 226/104

0232/0827

BRADFORD J DUFT LYON & LYON 633 WEST FIFTH STREET SUITE 4700 LOS ANGELES CA 90071

NOT ASSIGNED

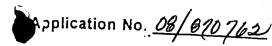
1801 DATE MAILED:

08/27/97

## NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

Filing Date Granted	
An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted ALONG WITH THE PAYMENT OF A SURCHARGE for items 1 and 3-6 only of \$	
If all required items on this form are filed within the period set above, the total amount owed by applicant as a <b>Priority (Priority (See Associate))</b> If all required items on this form are filed within the period set above, the total amount owed by applicant as a <b>Priority (Priority (Note: Associate))</b> If all required items on this form are filed within the period set above, the total amount owed by applicant as a <b>Priority (Priority (Note: Associate))</b> If all required items on this form are filed within the period set above, the total amount owed by applicant as a <b>Priority (Priority (Note: Associate))</b> If all required items on this form are filed within the period set above, the total amount owed by applicant as a <b>Priority (Priority (Note: Associate))</b> If all required items of the period set above, the total amount owed by applicant as a <b>Priority (Note: Associate)</b> If all required items of the period set above, the total amount owed by applicant as a <b>Priority (Note: Associate)</b> If a priority (Note: Associate) is a <b>Priority (Note: Associate)</b> If a period items of the period items o	
<ul> <li>□ 1. The statutory basic filing fee is:</li> <li>□ missing.</li> <li>□ insufficient.</li> <li>Applicant must submit \$ to complete the basic filing fee and/or file a verified small entity</li> </ul>	
statement claiming such status (37 CFR 1.27).	
2. Additional claim fees of \$, including any multiple dependent claim fees, are required. Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.	
<ul> <li>☑ 3. The oath or declaration:</li> <li>☑ is missing.</li> <li>☐ does not cover the newly submitted items.</li> <li>☐ does not identify the application to which it applies.</li> <li>☐ does not include the city and state or foreign country of applicant's residence.</li> <li>An oath or declaration in compliance with 37 CFR 1. 63, including residence information and identifying the application by the above Application Number and Filing Date is required.</li> </ul>	
<ul> <li>4. The signature(s) to the oath or declaration is/are:</li> <li>missing.</li> <li>by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.</li> <li>A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.</li> </ul>	
☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:	
An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.	
☐ 6. A \$ processing fee is required since your check was returned without payment (37 CFR 1.21(m)).	
7. Your filing receipt was mailed in error because your check was returned without payment.	
8. The application does not comply with the Sequence Rules.  See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."	
□ 9. OTHER:	
Direct the response and any questions about this notice to "Attention: Box Missing Parts."	
Acopy of this notice MUST be returned with the response.  Customer Service Center  Division Patent Examination Division (703) 308 1303	





## NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

The nucleotide and/or amino actd sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821 - 1.825 for the following reason(s):

[42	
[P	1. This application clearly fails to comply with the requirements of 37 CFR 1.821 - 1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
	2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 CFR 1.821(c). —
	3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e)
	4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 CFR 1.822 and/or 1.823, as indicated on the attached marked-up copy of the "Raw Sequence Listing."
	5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).
	6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 CFR 1.821(e).
	.7. Other: ————————————————————————————————————
Арр	licant must provide:
	An initial or substitute computer readable form (CRF) copy of the "Sequence Listing"
	An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification
	A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d)
	questions regarding compliance with these requirements, please contact:
For F	Rules Interpretation, call (703) 308-1123 CRF submission help, call (703) 308-4212 PatentIn software help, call (703) 308-6856

Please return a copy of this notice with your response.